

# Spectral structure and decompositions of optical states, and their applications

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We discuss the spectral structure and decomposition of multi-photon states. Ordinarily ‘multi-photon states’ and ‘Fock states’ are regarded as synonymous. However, when the spectral degrees of freedom are included this is not the case, and the class of ‘multi-photon’ states is much broader than the class of ‘Fock’ states. We discuss the criteria for a state to be considered a Fock state. We then address the decomposition of general multi-photon states into bases of orthogonal eigenmodes, building on existing multi-mode theory, and introduce an occupation number representation that provides an elegant description of such states that in many situations simplifies calculations. Finally we apply this technique to several example situations, which are highly relevant for state of the art experiments. These include Hong-Ou-Mandel interference, spectral filtering, finite bandwidth photo-detection, homodyne detection and the conditional preparation of Schrödinger Kitten and Fock states. Our techniques allow for very simple descriptions of each of these examples.

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## I. INTRODUCTION

Quantum communication and optical quantum computation rely on the controlled preparation and manipulation of specific, well-defined photonic states. The quantum character of light manifests itself through properties which cannot be explained by classical electrodynamics. In recent decades two main frameworks for the investigation of the non-classical phenomena of light have been established: single-photon or bi-photon states contain exactly one quantum of light in one field mode and their quantum character arises from entanglement, i.e. non-classical correlations of the mode properties (e.g. polarization, frequency or optical phases), or from (anti-) bunching [1] in interference experiments. For such states the impact of the spatio-spectral structure has been the subject of previous investigation [2, 3, 4]. Different methods for engineering the spectral properties of the parametric down-conversion process for conditional state preparation [5, 6, 7] and entanglement based applications have been studied [8, 9]. On the other hand, multi-photon states are typically studied in the context of continuous variable (CV) systems where the quantum properties of optical fields are described by their quadrature components in a single-mode phase space representation [10]. The multi-mode character of such states is often ignored or is irrelevant. For pulsed multi-photon states, spectral properties and inter-mode correlations play an important role in squeezing optimization and for the complete characterization of realistic sources [11, 12].

The experimental interest in more complex multi-photon states of light makes the accurate description of such states an important issue. Additionally, the need to

theoretically model systems comprising such states necessitates an elegant and compact representation. In this paper we analyze the internal spectral structure of multi-photon states and study its implications on quantum interference effects. We discuss the properties, symmetry and decomposition of the spectral distribution functions of generalized states with higher photon number components. Additionally, we present techniques for performing multi-mode calculations with such states. Previous work has introduced a multi-mode theory [13], where an optical field is decomposed into components orthogonal to and overlapping with some desired mode. We generalize this notion with a generalized eigenmode decomposition for arbitrary states. The advantage of our decomposition is its generality and flexibility – we have great flexibility in choosing the basis of the decomposition, which simplifies many calculations, while remaining completely general. In particular our approach simplifies calculations involving higher photon numbers and multiple modes, which otherwise become very complicated. Our method has similarities with the well-known Schmidt decomposition for the spectral degrees of freedom of biphoton states [3, 5, 14, 15, 16], but is more general in that it can characterize states with higher photon number.

The flexibility in choosing the eigenmode decomposition basis makes it very suitable for describing many physical systems of experimental interest. Here different physical processes can *impose* a particular basis choice, because they operate on only a particular well-defined mode. For example, filtering and detection processes are characterized by a particular spectral response. In this instance the physical process imposes a decomposition in terms of a basis consisting of the mode defined by this response, while others are traced out. Thus, the response of the filtering/detection can significantly change the nature of the state. This can have significant implications for, for example, conditional state preparation techniques,

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which are of great experimental interest [17, 18, 19].

We begin by introducing a general representation for optical states, which includes both the photon number and spectral degrees of freedom in Section II. We review the structure and normalization of such states and demonstrate that these states are far more general than the usual notion of ‘Fock’ states. We then analyze the relationship between generalized multi-photon states and Fock states, and give criteria for photonic states to be formally regarded as Fock states. We describe the impact on quantum interference effects. In Section III we introduce a decomposition of states into discrete, orthogonal ‘eigenmodes’, as well as an ‘eigenmode occupation number’ representation. This provides an elegant representation for general states, and is a useful tool when performing calculations. For example, if we wish to understand a specific interaction between two arbitrary states, we proceed to decompose them into matched discrete eigenmodes. The overall evolution is then given by independently applying the relevant interaction to each eigenmode. We thus take advantage of the fact that photons within a given eigenmode are by definition indistinguishable and can be treated in the idealized sense. In Section IV we demonstrate the benefits of our technique by applying it to several examples of experimental relevance. The described decomposition techniques allow for very straightforward analysis of these situations. However, our techniques could also prove very useful in the theoretical analysis of other quantum optical systems, in particular those subject to non-ideal effects such as mode-mismatch [20, 21, 22, 23], photon distinguishability and imperfect photo-detection [24]. We conclude in Section V.

## II. GENERALIZED REPRESENTATION OF MULTI-PHOTON STATES

### A. Theory

We begin by discussing the representation of optical states in the spectral domain. Note that while we focus on the spectral degrees of freedom, the discussed representations can easily be generalized to other degrees of freedom, such as the transverse spatial ones.

Including the spectral degrees of freedom, a pure  $n$ -photon state can be expressed in the form

$$|\psi_n\rangle \propto \int \psi(\omega_1, \dots, \omega_n) \hat{a}^\dagger(\omega_1) \dots \hat{a}^\dagger(\omega_n) d\vec{\omega} |0\rangle, \quad (1)$$

where  $\hat{a}^\dagger(\omega)$  is the single frequency photonic creation operator at frequency  $\omega$ , and we refer to  $\psi$  as the spectral distribution function (SDF). An arbitrary pure state can then be expressed in the form

$$|\psi\rangle \propto \sum_n c'_n \int \psi_n(\omega_1, \dots, \omega_n) \hat{a}^\dagger(\omega_1) \dots \hat{a}^\dagger(\omega_n) d\vec{\omega} |0\rangle. \quad (2)$$

We now turn our attention to the normalization of such states. Our discussion closely follows the work of Ou [25]. Let us assume the SDF is initially normalized according to

$$\int |\psi(\omega_1, \dots, \omega_n)|^2 d\vec{\omega} = 1. \quad (3)$$

For a single photon state this is equivalent to requiring that the state itself be normalized, i.e.  $\langle\psi|\psi\rangle = 1$ . However, for states with higher photon number this is not necessarily the case (i.e. in general  $\langle\psi|\psi\rangle \neq 1$ ), as we now discuss. For the  $n$ -photon state  $|\psi_n\rangle$ , we introduce the normalization term,  $\mathcal{N}_n$ , defined such that  $\langle\psi_n|\psi_n\rangle = 1$ . Thus, a normalized multi-photon state is of the form

$$|\psi\rangle = \sum_n \frac{c_n}{\sqrt{\mathcal{N}_n}} \int \psi_n(\omega_1, \dots, \omega_n) \hat{a}^\dagger(\omega_1) \dots \hat{a}^\dagger(\omega_n) d\vec{\omega} |0\rangle, \quad (4)$$

where  $\sum_n |c_n|^2 = 1$ . Following Ref. [25], the normalization terms are given by

$$\mathcal{N}_n = \int \psi_n(\omega_1, \dots, \omega_n)^* \sum_{P \in S_n} \psi_n(P[\omega_1, \dots, \omega_n]) d\vec{\omega}, \quad (5)$$

where  $P$  represents a permutation of the indices of  $\psi$ , and we sum over all possible permutations. This expression arises directly by expanding  $\langle\psi_n|\psi_n\rangle$ . This expansion consists of  $n!$  terms, containing all possible combinations of  $n$ -fold products of delta functions of the form  $\langle\omega_i|\omega_j\rangle = \delta(\omega_i - \omega_j) \forall i, j = 1 \dots n$ . This gives rise to the summation over permutations of the indices. Since there are  $n!$  terms in the summation, the factor  $\mathcal{N}_n$  ranges between 1 and  $n!$ , and directly gives us a measure of the permutation symmetry of  $\psi$ .

To provide some intuition into the behavior of  $\mathcal{N}$ , let us consider two simple examples. First, suppose the SDF can be expressed in the form

$$\psi(\omega_1, \dots, \omega_n) = \phi(\omega_1) \dots \phi(\omega_n). \quad (6)$$

In this case  $\psi$  exhibits full symmetry under permutation of the indices, i.e.  $\psi(P[\omega_1, \dots, \omega_n]) = \psi(\omega_1, \dots, \omega_n) \forall P \in S_n$ , and it follows from Eq. 5 that  $\mathcal{N} = n!$ . It is shown in Appendix A that  $\mathcal{N} = n!$  if and only if  $\psi$  exhibits full permutation symmetry. Note that full permutation symmetry does not uniquely correspond to the class of states of the form shown in Eq. 6, i.e. indistinguishable separable states. For example, if we take the SDF to be a linear combination of two distinct such functions, e.g.  $\psi(\omega_1, \omega_1) = \alpha\phi(\omega_1)\phi(\omega_2) + \beta\varphi(\omega_1)\varphi(\omega_2)$ , it will also exhibit full permutation symmetry, but is non-separable.

Next, suppose the multi-photon state consists of temporally-separated photons, sufficiently separated such that every photon is distinguishable from the others. This essentially corresponds to  $n$  photons in  $n$  distinct modes, as opposed to  $n$  photons in one mode as per the previous example. Now the SDF can be expressed in the

form

$$\begin{aligned}\psi(\omega_1, \dots, \omega_n) &= e^{-i\omega_1\tau} \phi(\omega_1) e^{-i2\omega_2\tau} \dots e^{-ni\omega_n\tau} \phi(\omega_n) \\ &= \prod_{k=1}^n e^{-ki\omega_k\tau} \phi(\omega_k) = \prod_{k=1}^n \varphi_k(\omega_k),\end{aligned}\quad (7)$$

where  $\tau$  is larger than the temporal bandwidth of the individual photons, i.e.  $\tau \gg 1/\Delta\omega$ . Thus,

$$\int \varphi_i(\omega)^* \varphi_j(\omega) \approx \delta_{i,j}. \quad (8)$$

In this case it is obvious that the state exhibits no permutation symmetry, since the state decomposes such that each photon effectively has zero overlap with all others. Thus, the only surviving term in the expansion is for  $P = \mathbb{1}$ , giving  $\mathcal{N} = 1$ .

Note that in the previous two examples the  $\mathcal{N}$  normalization factor is consistent with what we expect from standard theory. In the former case we have  $n$  excitations of a single mode, in which case standard theory tells us that  $|\psi\rangle = \frac{1}{\sqrt{n!}} (\hat{a}^\dagger)^n |0\rangle$ . On the other hand, in the latter case we effectively have  $n$  distinct modes, each with a single excitation, for which  $|\psi\rangle = \hat{a}_1^\dagger \hat{a}_2^\dagger \dots \hat{a}_n^\dagger |0\rangle$ .

This notion generalizes very simply to the multi-mode case. Consider the  $m$ -partite system with  $n_i$  photons in the  $i^{\text{th}}$  mode. Such a state can be expressed in the form

$$\begin{aligned}|\psi_{n_1, \dots, n_m}\rangle &= \int \psi(\omega_1^{(1)}, \dots, \omega_{n_1}^{(1)}, \dots, \omega_1^{(m)}, \dots, \omega_{n_m}^{(m)}) \\ &\times \hat{a}_1^\dagger(\omega_1^{(1)}) \dots \hat{a}_1^\dagger(\omega_{n_1}^{(1)}) \dots \hat{a}_m^\dagger(\omega_1^{(m)}) \dots \hat{a}_m^\dagger(\omega_{n_m}^{(m)}) d\vec{\omega} |0\rangle.\end{aligned}\quad (9)$$

where  $\omega_i^{(j)}$  denotes the frequency of the  $i^{\text{th}}$  photon in mode  $j$ , and  $\hat{a}_i^\dagger(\omega)$  denotes the single frequency creation operator of mode  $i$ . In this case it follows that the normalization factor  $\mathcal{N}$  is given by

$$\mathcal{N}_{n_1, \dots, n_m} = \int \psi(\vec{\omega})^* \sum_{P_1, \dots, P_m} \psi(P_1 \dots P_m[\vec{\omega}]) d\vec{\omega}, \quad (10)$$

where  $P_i$  is a permutation over the just the indices of mode  $i$ , and again we sum over all permutations. It can easily be seen that in the multimode case  $\mathcal{N}$  varies between 1 and  $n_1!n_2! \dots n_m!$ .

## B. What is a Fock state?

It is evident that there is an entire class of states consisting of  $n$  photons. This raises the question as to what subset of these states is equivalent to the usual notion of Fock states. We answer this question by examining the algebraic structure of Fock states. Formally, the  $n$  photon Fock state is defined as

$$|n\rangle = \frac{1}{\sqrt{n!}} (\hat{a}^\dagger)^n |0\rangle. \quad (11)$$

To maintain a consistent algebraic structure we require that states defined in the more generalized spectral representation exhibit an analogous structure,

$$|n\rangle = \frac{1}{\sqrt{n!}} (\hat{A}_\psi^\dagger)^n |0\rangle, \quad (12)$$

where  $\hat{A}_\psi^\dagger$  is a generalized mode creation operator, which creates a photon characterized by SDF  $\psi(\omega)$ ,

$$\hat{A}_\psi^\dagger = \int \psi(\omega) \hat{a}^\dagger(\omega) d\omega. \quad (13)$$

It is clear that the class of states corresponding to the Fock states is defined by the constraint on the multi-photon SDF,

$$\psi(\omega_1, \dots, \omega_n) = \phi(\omega_1) \phi(\omega_2) \dots \phi(\omega_n), \quad (14)$$

where  $\phi(\omega)$  is arbitrary. Thus, when the SDF of an  $n$ -photon state is factorizable as an  $n$ -fold product of identical functions, it is structurally equivalent to a Fock state. Henceforth we will refer to states of this form as Fock states. Note that for Fock states  $\mathcal{N} = n!$ , giving rise to the required normalization factor of  $1/\sqrt{n!}$  in Eq. 12.

Often spectral distinguishability of multi-photon states is ignored, and an  $n$ -photon Fock state and a state with  $n$  photons are regarded as being equivalent. As we have discussed, Fock states only correspond to a subset of the later more general class of states. It is not immediately obvious what implications this has in an experimental situation. An example of this question was studied in Ref. [25], and temporal distinguishability in multi-photon states has been experimentally demonstrated in Ref. [26].

To illustrate this, and provide some intuition into the importance of the distinction between Fock states and more general multi-photon states, we now discuss a very simple example situation where the behavior of an experiment differs significantly, depending on the spectral composition of multi-photon states.

Consider an experiment where we interfere two identical copies of a two-photon state on a 50/50 beam-splitter. We will consider two opposing limits. First, we employ Fock states, as defined in the previous discussion. In this case each of the incident two-photon states are of the spectral form  $\psi(\omega_1, \omega_2) = \phi(\omega_1) \phi(\omega_2)$ . Second, we employ two-photon states in which the constituent photons are well temporally separated. In this case,  $\psi(\omega_1, \omega_2) = e^{-i\omega_1\tau} \phi(\omega_1) \phi(\omega_2)$ , where  $\tau$  is much larger than the photons' temporal bandwidth.

Let us consider the probability of detecting four photons at output mode  $A$ ,  $P_{4A}$ . In the former case the

derivation proceeds in the usual manner.

$$\begin{aligned}
|\psi_{\text{in}}\rangle &= \frac{1}{2}(\hat{a}^\dagger)^2(\hat{b}^\dagger)^2|0\rangle \\
|\psi_{\text{out}}\rangle &= \hat{U}|\psi_{\text{in}}\rangle \\
&= \left[ \frac{(\hat{a}^\dagger)^4}{8} - \frac{(\hat{a}^\dagger)^2(\hat{b}^\dagger)^2}{4} + \frac{(\hat{b}^\dagger)^4}{8} \right] |0\rangle \\
&= \sqrt{\frac{3}{8}}|4, 0\rangle - \frac{1}{2}|2, 2\rangle + \sqrt{\frac{3}{8}}|0, 4\rangle, \quad (15)
\end{aligned}$$

where  $\hat{U}$  is the 50/50 beamsplitter operation and we assume the phase-asymmetric beamsplitter convention. Therefore  $P_{4A} = 3/8$ .

In the later case, temporally disjoint photons do not interact with one another at the beamsplitter. We can therefore treat the evolution as two independent interferences of single photons at the beamsplitter. For a single such event we have

$$\begin{aligned}
|\psi_{\text{in}}\rangle &= \hat{a}^\dagger \hat{b}^\dagger |0\rangle \\
|\psi_{\text{out}}\rangle &= \hat{U}|\psi_{\text{in}}\rangle \\
&= \left[ \frac{(\hat{a}^\dagger)^2}{2} - \frac{(\hat{b}^\dagger)^2}{2} \right] |0\rangle \\
&= \frac{1}{\sqrt{2}}|2, 0\rangle - \frac{1}{\sqrt{2}}|0, 2\rangle. \quad (16)
\end{aligned}$$

Thus, for each event the probability of detecting two photons at any one of the outputs is  $1/2$ , as expected from the well known Hong-Ou-Mandel (HOM) effect [27]. Given two independent events of this type, the total probability of detecting four photons at output  $A$  is  $P_{4A} = 1/4$ .

Although in both cases we are interacting two indistinguishable states, it is evident that the nature of the quantum interference differs significantly depending on their internal structure.

These two limiting cases are easily calculated from standard theory. However, we lack a description of the more general case where the two-photon states exhibit partial internal distinguishability. We now consider a more general case. Suppose our incident two-photon states are separable and of the form

$$|\psi_2\rangle = \frac{1}{\sqrt{\mathcal{N}_2}} \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_2}^\dagger |0\rangle. \quad (17)$$

where  $\varphi_1(\omega)$  and  $\varphi_2(\omega)$  are arbitrary and may exhibit any degree of distinguishability. It can easily be seen that the normalization factor  $\mathcal{N}_2$  is given by

$$\begin{aligned}
\mathcal{N}_2 &= \left( \int \varphi_1(\omega)^* \varphi_1(\omega) d\omega \right) \left( \int \varphi_2(\omega)^* \varphi_2(\omega) d\omega \right) \\
&+ \left( \int \varphi_1(\omega)^* \varphi_2(\omega) d\omega \right) \left( \int \varphi_2(\omega)^* \varphi_1(\omega) d\omega \right) \\
&= 1 + \gamma, \quad (18)
\end{aligned}$$

where

$$\gamma = \left| \int \varphi_1(\omega)^* \varphi_2(\omega) d\omega \right|^2 \quad (19)$$

characterizes the degree of photon distinguishability within the two-photon state. We have two identical copies of this state, so the incident state is of the form

$$|\psi_{\text{in}}\rangle = \frac{1}{\mathcal{N}_2} \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_2}^\dagger \hat{B}_{\varphi_1}^\dagger \hat{B}_{\varphi_2}^\dagger |0\rangle, \quad (20)$$

where  $A$  and  $B$  denote distinct spatial modes. We then pass this state through a 50/50 beamsplitter and condition upon detecting all four photons at the  $A$  output. It can now easily be seen that the conditional output state is

$$|\psi_{\text{cond}}\rangle = \frac{1}{4\mathcal{N}_2} \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_2}^\dagger \hat{A}_{\varphi_2}^\dagger |0\rangle. \quad (21)$$

The probability of detecting this state is simply given by  $P_{4A} = \langle \psi_{\text{cond}} | \psi_{\text{cond}} \rangle$ . Thus,

$$P_{4A} = \frac{\mathcal{N}_4}{16\mathcal{N}_2^2}, \quad (22)$$

where  $\mathcal{N}_4$  is the normalization factor of the four-photon state  $\hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_2}^\dagger \hat{A}_{\varphi_2}^\dagger |0\rangle$ . Notice that the interference is completely characterized by the relationship between different permutation symmetry factors. It can easily be shown (see Appendix C for proof) that in this case  $\mathcal{N}_4 = 4(1 + \gamma + \gamma^2)$ . Thus we have

$$P_{4A} = \frac{1}{4} \left( \gamma + \frac{1}{1 + \gamma} \right). \quad (23)$$

As expected, this is consistent with the limiting cases considered previously. When we have incident Fock states,  $\gamma = 1$  and  $P_{4A} = 3/8$ , whereas for states in which the constituent photons are distinguishable we have  $\gamma = 0$  and  $P_{4A} = 1/4$ .

### III. EIGENMODE DECOMPOSITION OF MULTI-PHOTON STATES

#### A. Motivation

From the previous section it is evident that the internal spectral structure of multi-photon states plays an important role in quantum interference effects. The calculation of the previous example also illustrates that it becomes increasingly difficult to model generalized settings with higher photon numbers, since we must analytically manipulate complicated multi-fold integrals with increasing numbers of terms. In this section we discuss an alternative approach for representing multi-photon states in a discrete basis of eigenfunctions, which provides an elegant and compact description for such states and simplifies many calculations. We will demonstrate its benefits in the next section by analyzing several example situations. Our method lends itself well to numerical analysis, as opposed to analytic manipulation of states in integral form. This approach is an extension of the multi-mode description of optical states, which has been used

in the evaluation of homodyne detection [13], and is also closely related to the well-known Schmidt decomposition for two-mode states.

### B. Theory

Any well-behaved complex function (in the present discussion a SDF) can always be decomposed in terms of a discrete basis of orthonormal functions (a well known example is the basis of Hermite functions),

$$\psi(\omega) = \sum_i \lambda_i \xi_i(\omega). \quad (24)$$

where  $\sum_i |\lambda_i|^2 = 1$ , and the discrete set of functions  $\{\xi_i(\omega)\}$  are orthonormal under the inner product,

$$\int \xi_i(\omega)^* \xi_j(\omega) d\omega = \delta_{i,j}. \quad (25)$$

In the present discussion the exact choice of eigenfunctions will typically be unimportant. However, we will extensively make use of one property: with an appropriate change of basis transformation we can choose an arbitrary normalizable function to belong to the discrete set of basis functions. This derives from the Gram-Schmidt orthogonalization procedure and is proven in Appendix B.

It follows that a single photon state with arbitrary SDF can always be expressed as a superposition of single photon states with orthogonal SDF's,

$$\begin{aligned} |\psi_1\rangle &= \int \psi(\omega) \hat{a}^\dagger(\omega) d\omega |0\rangle = \sum_i \lambda_i \int \xi_i(\omega) \hat{a}^\dagger(\omega) d\omega |0\rangle \\ &= \sum_i \lambda_i \hat{A}_{\xi_i}^\dagger |0\rangle. \end{aligned} \quad (26)$$

Because the eigenfunctions  $\{\xi_i(\omega)\}$  are orthonormal,  $\langle 0 | \hat{A}_{\xi_i} \hat{A}_{\xi_j}^\dagger | 0 \rangle = \delta_{i,j}$ . Thus, the modes characterized by the different mode creation operators  $\hat{A}_{\xi_i}^\dagger$  are orthonormal. We refer to these as ‘eigenmodes’. The coefficients  $\{\lambda_i\}$  follow trivially from the overlap  $\lambda_i = \langle 0 | \hat{A}_{\xi_i} \hat{A}_\psi^\dagger | 0 \rangle$ ,

$$\lambda_i = \int \xi_i(\omega)^* \psi(\omega) d\omega. \quad (27)$$

This notion generalizes to states with higher photon number in a straightforward manner,

$$\begin{aligned} |\psi_n\rangle &= \int \psi(\omega_1, \dots, \omega_n) \hat{a}^\dagger(\omega_1) \dots \hat{a}^\dagger(\omega_n) d\vec{\omega} |0\rangle \\ &= \sum_{i_1 \leq \dots \leq i_n} \lambda_{i_1, \dots, i_n} \\ &\quad \times \int \xi_{i_1}(\omega_1) \dots \xi_{i_n}(\omega_n) \hat{a}^\dagger(\omega_1) \dots \hat{a}^\dagger(\omega_n) d\vec{\omega} |0\rangle \\ &= \sum_{i_1 \leq \dots \leq i_n} \lambda_{i_1, \dots, i_n} \hat{A}_{\xi_{i_1}}^\dagger \dots \hat{A}_{\xi_{i_n}}^\dagger |0\rangle. \end{aligned} \quad (28)$$

Notice that we insert the summation condition  $i_1 \leq \dots \leq i_n$  to remove potential ambiguity in the decomposition.  $\lambda_{i_1, \dots, i_n}$  is to be interpreted as the coefficient of the term with a photon in each of the modes  $i_1, \dots, i_n$ . Therefore  $\lambda$ 's with different permutations of the same indices refer to the same eigenmode. For example, in the two photon state the coefficients  $\lambda_{1,2}$  and  $\lambda_{2,1}$  are both associated with a single photon in each of the modes  $\xi_1$  and  $\xi_2$ . Thus, to avoid this double counting we assume the summation does not run over permutations of the same indices. Now the coefficients of the decomposition are uniquely defined as

$$\lambda_{i_1, \dots, i_n} = \int \xi_{i_1}(\omega_1)^* \dots \xi_{i_n}(\omega_n)^* \psi(\omega_1, \dots, \omega_n) d\vec{\omega}. \quad (29)$$

We can reformalize this representation slightly as follows. Consider the single photon case. We define  $|1\rangle_{\xi_i} = \hat{A}_{\xi_i}^\dagger |0\rangle$  to be the single photon state in the eigenmode characterized by  $\xi_i$ . Because the different eigenmodes are orthonormal, we can introduce an occupation number representation,

$$|1\rangle_{\xi_i} = |0\rangle_{\xi_1} |0\rangle_{\xi_2} \dots |1\rangle_{\xi_i} |0\rangle_{\xi_{i+1}} \dots \quad (30)$$

Thus, an arbitrary single photon state can always be expressed in the form

$$|\psi_1\rangle = \sum_i \lambda_i |0\rangle_{\xi_1} |0\rangle_{\xi_2} \dots |1\rangle_{\xi_i} |0\rangle_{\xi_{i+1}} \dots \quad (31)$$

Similarly, an arbitrary  $n$  photon state can be expressed in the eigenmode occupation number representation as

$$\begin{aligned} |\psi_n\rangle &= \sum_{i_1, \dots, i_n} \sqrt{n_1! n_2! \dots} \lambda_{i_1, \dots, i_n} |n_1\rangle_{\xi_1} |n_2\rangle_{\xi_2} \dots \\ &= \sum_{i_1, \dots, i_n} \lambda'_{i_1, \dots, i_n} |n_1\rangle_{\xi_1} |n_2\rangle_{\xi_2} \dots, \end{aligned} \quad (32)$$

where  $n_j$  is the number of indices  $\{i_1, \dots, i_n\}$  equal to  $j$ , i.e. the population of the respective eigenmode. This effectively represents an arbitrary state in terms of a superposition of distinct Fock states. This change in notation may seem rather pointless, however this is exactly what we ordinarily do when we use regular Fock notation – we abstract away the specific form of the underlying wavefunction to simplify treatment. This notational change turns out to be very useful, as we will see in the examples in the next section.

Next we discuss a slight variation of the eigenmode occupation number representation that simplifies certain types of calculation. This will be particularly useful in some of the examples we discuss shortly. In many calculations we do not explicitly need to consider all of the modes in a given decomposition. Instead, there might be just one mode which is involved in a given interaction, and others are of no direct interest. In such a situation it would be particularly desirable to decompose a state into just two components: an arbitrary mode that is of

interest (e.g. this mode might be involved in a particular interaction), and collectively ‘other’ modes that are not directly involved in our calculations. For example, suppose we measure a state using a photo-detector that responds to a finite spectral range. By defining one of the eigenmodes to be the spectral response of the detector, we can simply trace out the remaining modes to understand the behavior of the detection process – the exact form of the rest of the decomposition is not important. This is one of the examples we will consider in detail shortly.

First consider the single photon case. We represent the single photon SDF as,

$$\psi(\omega) = \lambda_1 \phi(\omega) + \lambda_0 \bar{\phi}(\omega), \quad (33)$$

where  $\phi(\omega)$  represents some ‘desired’ mode, and  $\bar{\phi}(\omega)$  collectively represents the component of  $\psi(\omega)$  that does not overlap with  $\phi(\omega)$ . Now the mode creation operator can be reexpressed,

$$\hat{A}_\psi^\dagger = \lambda_1 \hat{A}_\phi^\dagger + \lambda_0 \hat{A}_{\bar{\phi}}^\dagger. \quad (34)$$

where

$$\begin{aligned} \lambda_1 &= \int \phi(\omega)^* \psi(\omega) d\omega, \\ \lambda_0 &= \sqrt{1 - |\lambda_1|^2}. \end{aligned} \quad (35)$$

Moving to the occupation number representation this can be written

$$|\psi_1\rangle = \lambda_1 |1\rangle_\phi |0\rangle_{\bar{\phi}} + \lambda_0 |0\rangle_\phi |1\rangle_{\bar{\phi}}. \quad (36)$$

Of course this can also be easily generalized to states with higher photon number. For example, the decomposition of an arbitrary  $n$  photon state in terms of Fock states in mode  $\phi$  will take the form.

$$|\psi_n\rangle = \sum_{i=0}^n \lambda_i |i\rangle_\phi |n-i\rangle_{\bar{\phi}}. \quad (37)$$

Thus far we have specifically focussed on the single-mode case. However, these ideas easily generalize to the multi-mode case. Specifically, a state distributed across modes  $A, B, C, \dots$ , with  $n_i$  photons in mode  $i$  will take the form,

$$\begin{aligned} |\psi_{n_A, n_B, \dots}\rangle &= \sum_{\vec{i}^A, \vec{i}^B, \dots} \lambda_{\vec{i}} \hat{A}_{\xi_{i_1^A}}^\dagger \dots \hat{A}_{\xi_{i_{n_A}^A}}^\dagger \\ &\quad \times \hat{B}_{\xi_{i_1^B}}^\dagger \dots \hat{B}_{\xi_{i_{n_B}^B}}^\dagger \times \dots |0\rangle. \end{aligned} \quad (38)$$

This representation for multi-photon states is closely related to the Schmidt decomposition for biphoton states. Formally, a Schmidt decomposition corresponds to the  $n_A = 1, n_B = 1$  case where we choose the eigenbasis  $\{\xi_i\}$  so as to diagonalize the  $\lambda$  matrix. Unlike the Schmidt decomposition, the representation described is completely general and holds for states with arbitrary photon number.

## IV. EXPERIMENTAL EXAMPLES

The eigenmode decomposition and occupation number representation provide an elegant tool for performing calculations with generalized multi-photon states. We now present several examples of experimental relevance, which illustrate the simplicity of modeling such systems using this representation. First we review the well known Hong-Ou-Mandel effect, which provides a simple example scenario for our techniques. Second, we model spectral filtering and finite bandwidth photo-detection, which follows very simply from an occupation number representation, and is important in understanding the behavior of realistic photo-detectors. We then discuss homodyne detection in the presence of mode-mismatch, the preparation of Schrödinger Kitten states via photon subtraction, and conditional Fock state preparation via non-degenerate parametric down-conversion.

### A. Hong-Ou-Mandel interference

We begin our discussion by considering the well-known HOM effect [27]. Although this effect is well understood and extremely simple to derive using various other approaches (cf. Ref. [20]), for illustration we start by re-deriving this result using the techniques discussed. After studying the case of independently produced photons, we generalize to the case of spectrally entangled photons.

We begin with a two mode input state, with a single photon in each mode. We initially assume the state to be separable, such that the photons are independently characterized by SDFs  $\phi(\omega)$  and  $\varphi(\omega)$  respectively. The incident state can therefore be expressed in the form

$$|\psi\rangle_{\text{in}} = |1\rangle_{\phi, A} |1\rangle_{\varphi, B}, \quad (39)$$

where  $A$  and  $B$  denote distinct spatial modes. Shifting to the occupation number representation and choosing one of the eigenmodes to be  $\phi(\omega)$  we obtain

$$\begin{aligned} |\psi\rangle_{\text{in}} &= |1\rangle_{\phi, A} (\lambda_1 |1\rangle_{\phi, B} + \lambda_0 |1\rangle_{\bar{\phi}, B}) \\ &= \lambda_1 |1\rangle_{\phi, A} |1\rangle_{\phi, B} + \lambda_0 |1\rangle_{\phi, A} |1\rangle_{\bar{\phi}, B}. \end{aligned} \quad (40)$$

Here we have used shorthand notation and not explicitly written out all the vacuum terms. We now apply the 50/50 beamsplitter operation  $\hat{U}$ . Note that because the different eigenmodes are orthogonal, and within each eigenmode photons are by definition indistinguishable,  $\hat{U}$  acts on each eigenmode independently and in the idealized sense. Thus we have the property,

$$\begin{aligned} \hat{U}(|m_1, n_1\rangle_{\xi_1} |m_2, n_2\rangle_{\xi_2} \dots) \\ = (\hat{U}|m_1, n_1\rangle)_{\xi_1} (\hat{U}|m_2, n_2\rangle)_{\xi_2} \dots \end{aligned} \quad (41)$$

Using this we obtain

$$\begin{aligned}
|\psi\rangle_{\text{out}} &= \hat{U}|\psi\rangle_{\text{in}} \\
&= \lambda_1 \hat{U}|1, 1\rangle_{\phi} + \lambda_0 (\hat{U}|1, 0\rangle)_{\phi} (\hat{U}|0, 1\rangle)_{\bar{\phi}} \\
&= \frac{\lambda_1}{\sqrt{2}} (|2, 0\rangle - |0, 2\rangle)_{\phi} \\
&+ \frac{\lambda_0}{2} (|1, 0\rangle + |0, 1\rangle)_{\phi} (|1, 0\rangle - |0, 1\rangle)_{\bar{\phi}}, \quad (42)
\end{aligned}$$

where we have used the shorthand  $|m, n\rangle_{\phi} = |m\rangle_{\phi, A} |n\rangle_{\phi, B}$ . From this expression we can directly read off the two-photon coincidence probability  $P_c$ ,

$$P_c = \frac{|\lambda_0|^2}{2} = \frac{1}{2} - \frac{1}{2} \left| \int \phi(\omega)^* \varphi(\omega) d\omega \right|^2. \quad (43)$$

This is the usual result – for completely indistinguishable photons the integral term approaches unity and  $P_c = 0$ , whereas for completely distinguishable photons the integral term approaches zero and  $P_c = 1/2$ .

This analysis effectively describes the situation where we interact two independently produced photons. Next we consider the more general case of spectrally entangled photons. This arises, for example, when using photon pairs produced through spontaneous parametric down-conversion. By expressing an arbitrary two mode state as

$$|\psi_{\text{in}}\rangle = \sum_{ij} \lambda_{ij} \hat{A}_i^{\dagger} \hat{B}_j^{\dagger} |0\rangle, \quad (44)$$

we can apply the 50/50 beamsplitter operation to obtain

$$\begin{aligned}
|\psi_{\text{out}}\rangle &= \hat{U}|\psi_{\text{in}}\rangle \\
&= \frac{1}{2} \sum_{i \neq j} \lambda_{ij} (\hat{A}_i^{\dagger} + \hat{B}_i^{\dagger}) (\hat{A}_j^{\dagger} - \hat{B}_j^{\dagger}) |0\rangle \\
&+ \frac{1}{2} \sum_i \lambda_{ii} [(\hat{A}_i^{\dagger})^2 - (\hat{B}_i^{\dagger})^2] |0\rangle. \quad (45)
\end{aligned}$$

Post-selecting upon coincidence events leaves

$$\begin{aligned}
|\psi_{\text{cond}}\rangle &= \frac{1}{2} \sum_{i \neq j} \lambda_{ij} (\hat{A}_j^{\dagger} \hat{B}_i^{\dagger} - \hat{A}_i^{\dagger} \hat{B}_j^{\dagger}) |0\rangle \\
&= \frac{1}{2} \sum_{i \neq j} (\lambda_{ij} - \lambda_{ji}) \hat{A}_i^{\dagger} \hat{B}_j^{\dagger} |0\rangle. \quad (46)
\end{aligned}$$

Thus, the coincidence probability is

$$P_c = \frac{1}{4} \sum_{i \neq j} |\lambda_{ij} - \lambda_{ji}|^2. \quad (47)$$

We can now immediately understand the behavior of HOM interference in the general case. First, suppose the input state is separable and the photons are indistinguishable. Now it is necessarily possible to choose an eigenbasis such that  $\lambda_{11} = 1$ , otherwise 0. In this case,

clearly  $P_c = 0$ , as expected. Next consider the other extreme, where the two photons are completely distinguishable. Suppose that photon  $A$  resides in eigenmode  $\xi_1$  and photon  $B$  in eigenmode  $\xi_2$ . Now  $\lambda_{12} = 1$ , otherwise 0. Clearly now  $P_c = 1/2$ , as expected. More generally we see that the requirement for perfect HOM interference is  $|\lambda_{ij} - \lambda_{ji}| = 0 \forall i, j$ . This is equivalent to requiring that the incident two-mode state be exchange symmetric. In other words, the two-photon joint-SDF  $\psi(\omega_1, \omega_2)$  must be symmetric about the  $\omega_1 = \omega_2$  axis. Note that this is much broader than the class of indistinguishable separable states, and includes highly spectrally entangled ones. This explains, for example, why HOM interference works with photon pairs produced through spontaneous down-conversion, despite the inherent spectral entanglement in that case (see also Ref. [28]).

## B. Spectral filtering and finite bandwidth photo-detection

We now consider the situation where we have an  $n$ -photon state to which we apply a spectral filter. Suppose the filter transmits frequencies within some finite range, and completely reflects any spectral components outside this range. Thus, the filter has an ideal rectangular spectral response function that we will label  $\phi(\omega)$ . For simplicity we will assume the incident state is an arbitrary Fock state. Note that this technique is by no means limited to dealing with Fock states, it is just a simplifying assumption for the sake of example. The incident state can be expressed,

$$\begin{aligned}
|\psi_n\rangle &= \frac{1}{\sqrt{n!}} (\hat{A}_{\psi}^{\dagger})^n |0\rangle \\
&= \frac{1}{\sqrt{n!}} (\lambda_1 \hat{A}_{\phi}^{\dagger} + \lambda_0 \hat{A}_{\bar{\phi}}^{\dagger})^n |0\rangle \\
&= \frac{1}{\sqrt{n!}} \sum_{i=0}^n \binom{n}{i} \lambda_1^i \lambda_0^{n-i} (\hat{A}_{\phi}^{\dagger})^i (\hat{A}_{\bar{\phi}}^{\dagger})^{n-i} |0\rangle \\
&= \sum_{i=0}^n \binom{n}{i}^{\frac{1}{2}} \lambda_1^i \lambda_0^{n-i} |i\rangle_{\phi} |n-i\rangle_{\bar{\phi}}, \quad (48)
\end{aligned}$$

where we have used an occupation number representation and chosen one of the eigenmodes to be  $\phi(\omega)$ . Next, by definition our filter reflects all modes orthogonal to  $\phi(\omega)$ . Thus, we trace them out to obtain the transmitted state,

$$\hat{\rho} = \sum_{i=0}^n \binom{n}{i} \lambda_1^{2i} \lambda_0^{2(n-i)} |i\rangle_{\phi} \langle i|_{\phi}, \quad (49)$$

which is a mixture of different photon number terms.

In general, spectral filtering introduces mixing in the number degree of freedom. This is a generic property of filtering and imposes limitations on the applicability of filtering techniques. The notable exception to this is

in all coincidence-type experiments, where photon number conservation conditions eliminate mixing in the number degree of freedom by effectively projecting us back onto a state with known photon number. For example, present-day in-principle demonstrations of linear optics quantum computing (LOQC) [29] operate in coincidence, enabling very narrowband filtering to post-select on highly overlapping spatio-temporal modes (see, for example, Refs. [30, 31, 32]). However, because these experiments operate in coincidence they are inherently destructive. Scalable implementations typically require heralded quantum gates, in which case such filtering is ruled out due to mixing effects. In this context achieving good mode overlap is extremely difficult. This poses one of the major challenges to the implementation of scalable LOQC.

Next consider the case of finite bandwidth photo-detection. A finite bandwidth photo-detector can be modeled by considering an ideal detector preceded by an appropriate spectral filter. Thus, the state shown in Eq. 49 represents the state observed by the ideal detector. The photon number statistics at the detector will simply be given by

$$P_m = \binom{n}{m} \lambda_1^{2m} \lambda_0^{2(n-m)}, \quad (50)$$

compared to the ideal case where  $P_m = \delta_{m,n}$ . See Ref. [24] for a different treatment of finite bandwidth photo-detection.

### C. Homodyne detection

Next we consider homodyne detection in the presence of mode-mismatch (cf. Ref. [13] for a comparable derivation). Homodyne detection is widely used in quantum optics experiments and, for example, forms the basis of Optical Homodyne Tomography (OHT) [33], which is used to tomographically reconstruct the Wigner function of unknown states. The measurement proceeds by interacting an unknown state with a coherent probe beam. Here an *indirect* filtering process takes place. Specifically, only the component of the incident state overlapping with the coherent probe mode will contribute to the homodyne statistics. As in the previous example, this effectively traces out the non-overlapping components. We now consider this in detail.

For simplicity, let us consider a superposition of Fock states of the form

$$|\psi\rangle = \sum_n c_n |\psi_n\rangle, \quad (51)$$

that we wish to measure. We will assume the coherent probe  $|\alpha\rangle$  is formally a superposition of Fock states, characterized by mode function  $\phi(\omega)$ . We begin by reexpressing our state  $|\psi\rangle$  in the eigenmode occupation number representation, where we choose one of the eigenmodes

to be  $\phi(\omega)$  (the others are irrelevant for the calculation). Thus,

$$|\psi\rangle = \sum_n c_n \sum_{i=0}^n \lambda_{n,i} |i\rangle_\phi |n-i\rangle_{\bar{\phi}}, \quad (52)$$

and the corresponding density matrix is simply

$$\begin{aligned} \hat{\rho} &= |\psi\rangle\langle\psi| \\ &= \sum_{n,i,n',i'} c_n^* c_{n'} \lambda_{n,i}^* \lambda_{n',i'} |i\rangle_\phi |n-i\rangle_{\bar{\phi}} \langle n'-i'|_{\bar{\phi}} \langle i'|_\phi. \end{aligned} \quad (53)$$

As discussed, the components of the incident state which do not overlap with the coherent probe do not contribute to the homodyne statistics and are therefore effectively traced out. Thus, the observed density matrix is given by

$$\begin{aligned} \hat{\rho}_{\text{obs}} &= \text{tr}_{\bar{\phi}}(\hat{\rho}) \\ &= \sum_{n,i,n',i'} c_n^* c_{n'} \lambda_{n,i}^* \lambda_{n',i'} \delta_{n-i,n'-i'} |i\rangle_\phi \langle i'|_\phi. \end{aligned} \quad (54)$$

Consider the behavior of this observed state in the limiting cases. First consider the ideal case, when the incident state is perfectly mode-matched to the coherent probe. In this case  $\lambda_{i,j} = \delta_{i,j}$  and it follows that

$$\hat{\rho}_{\text{obs}} = \sum_{n,n'} c_n^* c_{n'} |n\rangle\langle n'| = |\psi\rangle\langle\psi|, \quad (55)$$

as expected. In the other extreme the incident state is completely mismatched from the coherent probe. Now  $\lambda_{n,i} = \delta_{i,0}$  and the observed state is given by

$$\hat{\rho}_{\text{obs}} = \sum_{n,n'} c_n^* c_{n'} |0\rangle\langle 0| = |0\rangle\langle 0|. \quad (56)$$

This is also intuitively expected – in the limit where the incident state is completely mismatched from the probe, the detection process just observes a vacuum state. The intermediate cases may be readily calculated given knowledge of the  $\lambda$ 's, which are easily derived from Eq. 29 for known  $\psi$ .

Notice that in this calculation we did not need to explicitly model the homodyning process. Instead, it is abstracted away by decomposing the state into components which can be treated in the ideal sense, and which can be discarded. This is one of the main advantages of our approach, and highlights the underlying physics inherent in the structure of multi-mode optical fields.

### D. Preparation of Schrödinger Kitten states

We now turn our attention to a more specific example, a scheme for preparing Schrödinger Kitten states through photon subtraction from a pulsed single-mode squeezed state. This has been experimentally demonstrated in



Ref. [17]. In this experiment a squeezed state is prepared via degenerate parametric down-conversion. A photon is non-deterministically subtracted from this state by inserting a low reflectivity beamsplitter and conditioning on detecting a single photon in the reflected mode. When this post-selection process succeeds, we ought to have a photon-subtracted squeezed state in the transmitted mode, which corresponds to the desired Kitten state. We now examine the effect of the SDF on the preparation of such states.

The degenerate parametric down-conversion process is described by a Hamiltonian of the form

$$\hat{H}(t) = \lambda \int \int \psi(\omega_1, \omega_2) \hat{a}^\dagger(\omega_1) \hat{a}^\dagger(\omega_2) d\omega_1 d\omega_2, \quad (57)$$

where  $\psi(\omega_1, \omega_2)$  is determined by the crystal properties, phase matching conditions, and pump pulse [9]. Assuming we initially begin with the vacuum state, the output state at time  $t$  is

$$\begin{aligned} |\psi\rangle &= \hat{U}(t)|0\rangle \\ &= \exp\left[\frac{1}{i\hbar} \int_0^t \hat{H}(t') dt'\right] \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \left[ \frac{1}{i\hbar} \int_0^t \hat{H}(t') dt' \right]^n \\ &= \sum_{n=0}^{\infty} \frac{\lambda^n}{n!} \left[ \int \int \psi(\omega_1, \omega_2) \hat{a}^\dagger(\omega_1) \hat{a}^\dagger(\omega_2) d\omega_1 d\omega_2 \right]^n |0\rangle. \end{aligned} \quad (58)$$

Rewriting this in terms of an eigenmode decomposition we obtain

$$|\psi\rangle = \sum_{n=0}^{\infty} \frac{\lambda^n}{n!} \left[ \sum_{ij} \lambda_{ij} \hat{A}_i^\dagger \hat{A}_j^\dagger \right]^n |0\rangle. \quad (59)$$

Next we pass this state through a low-reflectivity beamsplitter,

$$\begin{aligned} |\psi\rangle &= \sum_{n=0}^{\infty} \frac{\lambda^n}{n!} \left[ \sum_{ij} \lambda_{ij} \left( \sqrt{1-\eta} \hat{A}_i^\dagger + \sqrt{\eta} \hat{B}_i^\dagger \right) \right. \\ &\quad \times \left. \left( \sqrt{1-\eta} \hat{A}_j^\dagger + \sqrt{\eta} \hat{B}_j^\dagger \right) \right]^n |0\rangle, \end{aligned} \quad (60)$$

where  $\eta$  is the beamsplitter reflectivity. We then post-select upon detecting a single photon in the reflected mode  $B$ . In practise [17], very narrowband filtering is employed prior to photo-detection. Suppose the filter has an ideal top-hat response. Furthermore, we will assume that our eigenbasis decomposition is in terms of a basis where the first basis function  $\xi_0$  is the filter response function. In the limit of small beamsplitter reflectivity,  $\eta \ll 1$ , the conditioned transmitted state can be approximated as,

$$|\psi\rangle \approx \sum_{n=0}^{\infty} \frac{\lambda^n}{(n-1)!} \left[ \sum_j \lambda_{0j} \hat{A}_j^\dagger \right] \left[ \sum_{ij} \lambda_{ij} \hat{A}_i^\dagger \hat{A}_j^\dagger \right]^{n-1} |0\rangle. \quad (61)$$

In general this state is not a superposition of Fock states, and therefore not formally a Kitten state. There are two features of this state that are of particular interest. First, looking at the second term, it is evident that the photon pairs created by this operator are, in general, pairwise spectrally entangled. Second, in general the photon created by the first term has a different spectral form to the other photons. Let us consider the behavior of this state. First consider the case where the initial state from the down-conversion process is separable into identical components (i.e. formally a superposition of Fock states). In this case  $\lambda_{ij}$  will be separable, i.e.  $\lambda_{ij} = \lambda'_i \lambda'_j$ , and it follows that the photon-subtracted state is of the form

$$|\psi\rangle \approx \lambda_0 \sum_{n=0}^{\infty} \frac{\lambda^n}{(n-1)!} \left[ \sum_j \lambda'_j \hat{A}_j^\dagger \right]^{2n-1} |0\rangle, \quad (62)$$

where the leading factor of  $\lambda_0$  reflects the non-determinism of the conditioning process. Clearly in this case the state is formally a superposition of Fock states, as required. However, in general the down-conversion process does not produce separable photon pairs, but highly spectrally entangled pairs as a result of energy conservation conditions. Thus, in general this technique will not formally produce Kitten states, but rather a more general class of states exhibiting the same photon number distribution as Kitten states.

Recall from the previous sub-section that when performing homodyne detection, imperfect mode overlap with the coherent probe beam leads to a tracing out of the orthogonal components of the measured mode. This effectively introduces mixing with the vacuum state. When a photon pair is spectrally entangled it is not possible for both photons to simultaneously have perfect mode overlap with a given coherent probe – an entangled state cannot have unit overlap with a separable state. Thus, in general we expect this procedure to result in observed mixing with the vacuum state. Note that in the experiment of Ref. [17] significant mixing of this type was observed, ranging from 29% – 36%. It is important to recognize that this vacuum mixing is, in general, inherent to this state preparation technique, and cannot be compensated for through filtering or post-selection. In this situation the only avenue for improving state fidelity is to engineer true Fock states in the first instance.

### E. Conditional preparation of Fock states via non-degenerate parametric down-conversion

Finally we consider the example of conditional state preparation via non-degenerate parametric down-conversion. This technique is widely used in the preparation of single photon states for quantum optics applications, including quantum information processing ones. We consider the more general case where we postselect upon detecting  $n$  photons in one arm of a non-degenerate down-converter and examine the form of the conditional

state in the other arm. In the non-degenerate case the Hamiltonian differs only slightly from Eq. 57, and takes the form,

$$\hat{H}(t) = \lambda \iint \psi(\omega_1, \omega_2) \hat{a}^\dagger(\omega_1) \hat{b}^\dagger(\omega_2) d\omega_1 d\omega_2. \quad (63)$$

Following a similar derivation as per the previous example, the prepared state is of the form

$$|\psi\rangle = \sum_{n=0}^{\infty} \frac{\lambda^n}{n!} \left[ \sum_{ij} \lambda_{ij} \hat{A}_i^\dagger \hat{B}_j^\dagger \right]^n |0\rangle. \quad (64)$$

Now suppose we post-select upon detecting  $m$  photons in mode  $A$ . In general the detection process may involve filtering. We will use the usual trick of choosing our eigenmode basis to contain the detector mode as one of its elements,  $\xi_0$ . Thus, the detector effectively applies the projector  $(|\xi_0\rangle\langle\xi_0|)^m$ . Upon applying this projector, the conditionally prepared state is

$$|\psi_{\text{cond}}\rangle \approx \frac{\lambda^m}{m!} \left[ \sum_j \lambda_{0j} \hat{B}_j^\dagger \right]^m |0\rangle, \quad (65)$$

where we ignore terms  $n > m$ . We can relabel the term in the bracket to obtain

$$|\psi_{\text{cond}}\rangle \approx \frac{\lambda^m}{m!} \left( \tilde{B}^\dagger \right)^m |0\rangle, \quad (66)$$

where  $\tilde{B}^\dagger = \sum_j \lambda_{0j} \hat{B}_j^\dagger$  is the mode creation operator characterized by the marginal distribution of the joint SDF over mode  $B$ . Importantly, the conditionally prepared state in Eq. 66 is always formally an  $m$ -photon Fock state, independent of  $\vec{\lambda}$ , making this approach to state preparation very suitable for quantum optics applications. Note that this feature arises from the particular form of Eq. 64, specifically the pairwise spectral entanglement, whereas for a completely arbitrary multi-photon state this property will not apply. This highlights the variability in the behavior of systems under filtering and measurement, and the need to analyze them on an individual basis. In some cases, as per the previous examples, filtering plays an important role in the final state of the system, while in others, as here, it does not.

In this analysis we have assumed the detector projects onto a particular, well-defined mode  $|\xi_0\rangle$ . In practise, realistic photo-detectors may respond to multiple modes but not provide information as to which one is detected. For example, a detector may respond to all times within some window, project onto a particular temporal mode within that window, but not tell us which one. In this instance we will clearly be left with a mixture of states of the above form over the different possible detection outcomes. For this reason narrowband filtering is an effective tool in increasing the purity of conditionally prepared states, since it effectively forces the detector to respond to only a single well-defined mode [24].

## V. CONCLUSION

We have discussed the spectral structure and decomposition of multi-photon states. We considered the general properties of the spectral distribution function and introduced an approach for decomposing it into discretized orthogonal ‘eigenmodes’. This leads naturally to an occupation number representation for general optical states. The advantage of this representation is that it simplifies many types of calculations. There are two main reasons for this. First, we have great freedom in choosing the basis for the decomposition. So, for example, when considering the interaction between two states, we can decompose one state into a basis consisting of components overlapping with and orthogonal to the other state. This allows for very straightforward treatment. Second, because the discrete eigenmodes are orthogonal, many types of evolution (e.g. all linear optical interactions) can be calculated by acting the relevant evolution independently on each of the eigenmodes. We demonstrated these techniques by several examples. In all of these examples our techniques allow for very straightforward calculation.

Additionally, our techniques provide insight into the impact of the internal structure of multimode states on quantum state manipulation. For example, using our techniques we demonstrated that certain mixing effects that are commonly attributed to experimental imperfections are in fact inherent and arise from the internal structure of states. This was the case in the example of vacuum mixing effects during Schrödinger Kitten state preparation.

We believe the presented techniques will prove useful in the theoretical analysis of quantum optical systems, particularly in situations where ‘non-ideal’ effects such as mode-mismatch, photon distinguishability and imperfect detectors are considered.

## APPENDIX A: PROOF THAT $\mathcal{N} = n!$ IF AND ONLY IF $\psi(\cdot)$ EXHIBITS FULL PERMUTATION SYMMETRY

By definition,

$$\mathcal{N} = \int \psi(\omega_1, \dots, \omega_n)^* \sum_{P \in S_n} \psi(P[\omega_1, \dots, \omega_n]) d\vec{\omega}. \quad (A1)$$

We will adopt the shorthand  $\psi = \psi(\omega_1, \dots, \omega_n)$  and  $\psi_P = \psi(P[\omega_1, \dots, \omega_n])$ . We now employ the Cauchy-Schwarz inequality,

$$|\langle\psi, \psi_P\rangle| \leq \|\psi\| \cdot \|\psi_P\|, \quad (A2)$$

where  $\|\psi\| = \langle\psi, \psi\rangle$ . In our case we have  $\|\psi\| = \|\psi_P\| = 1$ . Thus,

$$|\langle\psi, \psi_P\rangle| \leq 1, \quad (A3)$$

with equality for  $\psi = \psi_P$ . The expansion for  $\mathcal{N}$  contains  $n!$  terms, each of the form  $\langle\psi, \psi_P\rangle$  which have magnitude less than or equal to unity. Furthermore, since

$\mathcal{N} = \langle \psi | \psi \rangle$ ,  $\mathcal{N} \in \mathbb{R}$ . In general  $\langle \psi, \psi_P \rangle \in \mathbb{C}$ , but if  $\mathcal{N} = n!$  then necessarily  $\langle \psi, \psi_P \rangle = 1 \ \forall \ P$ , i.e  $\psi = \psi_P \ \forall \ P$ .

## APPENDIX B: PROOF THAT A BASIS CONTAINING AN ARBITRARY INTEGRABLE FUNCTION AS ONE OF ITS ELEMENTS ALWAYS EXISTS

Let  $\mathcal{H}$  be a separable Hilbert space with countable infinite basis  $X = \{x_n\}$ . Let  $f$  be a normalized function  $\mathcal{H} \rightarrow \mathcal{H}$ . We wish to show that the set  $X' = X \setminus x_k \cup f$  is a linearly independent set which can be used to create the orthonormal basis  $Y = \{y_n\}$  with  $y_0 = f$ .

Since  $f \in \mathcal{H}$  and  $X$  forms a basis for  $\mathcal{H}$  there must exist at least one  $x_k$  such that  $\langle x_k, f \rangle \neq 0$ . Then  $X \setminus x_k$  remains linearly independent but is no longer complete. Adding  $f$  to  $X \setminus x_k$  restores completeness, but  $X' = X \setminus x_k \cup f$  is not an orthonormal basis because there exist  $i \neq k$  such that  $\langle x_i, f \rangle \neq 0$ . Because  $X$  is countable, the number of expansion coefficients for  $f$  is also countable, so the expansion of any  $g \in \mathcal{H}$  is possible with a finite number of expansion coefficients using  $X'$ . The integral form of Hölder's inequality (see, for example, Ref. [34]) guarantees the existence of the scalar product defined over  $X'$ . Since  $X'$  is linearly independent, an orthonormal basis  $Y$  can be constructed using the Gram-Schmidt orthogonalization procedure.

## APPENDIX C: FOUR-PHOTON NORMALIZATION FACTOR IN FOUR-PHOTON INTERFERENCE

We wish to calculate the normalization factor  $\mathcal{N}_4$  for a state of the form

$$|\psi_4\rangle = \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_2}^\dagger \hat{A}_{\varphi_2}^\dagger |0\rangle. \quad (\text{C1})$$

We have

$$\mathcal{N}_4 = \langle \psi_4 | \psi_4 \rangle = \langle 0 | \hat{A}_{\varphi_1} \hat{A}_{\varphi_1} \hat{A}_{\varphi_2} \hat{A}_{\varphi_2} \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_1}^\dagger \hat{A}_{\varphi_2}^\dagger \hat{A}_{\varphi_2}^\dagger |0\rangle. \quad (\text{C2})$$

Summing over all combinations in which terms from the left hand side of the expansion can act on terms from the right hand side we obtain

$$\mathcal{N}_4 = 4\gamma_{11}^2 \gamma_{22}^2 + 4\gamma_{11} \gamma_{22} \gamma_{12} \gamma_{21} + 4\gamma_{12}^2 \gamma_{21}^2, \quad (\text{C3})$$

where

$$\gamma_{ij} = \langle 0 | \hat{A}_{\varphi_i} \hat{A}_{\varphi_j}^\dagger |0\rangle. \quad (\text{C4})$$

Note that  $\gamma_{11} = \gamma_{22} = 1$ . Thus,

$$\mathcal{N}_4 = 4(1 + \gamma + \gamma^2), \quad (\text{C5})$$

where

$$\gamma = \gamma_{12} \gamma_{21} = \left| \int \varphi_1(\omega)^* \varphi_2(\omega) d\omega \right|^2. \quad (\text{C6})$$

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